

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Markus ANDREASSON and Per ASTRAND

for

Inventor(s)

METHOD AND DEVICE FOR RECORDING OF INFORMATION

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. ☒ Specification (total pages 30) including:
 - a. 3 pages of claims (23 claims)
 - b. 1 page(s) Abstract
2. ☒ 5 sheets of ☒ informal ☐ formal drawings (Figs. 1-11c)
3. ☒ Oath or declaration of Applicant(s) (3 total pages)
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
4. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☐ Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. ☐ An assignment of the invention to _____ (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
9. ☒ Power of Attorney
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application



10054284 11/13/01

10. ___ Information Disclosure Statement (IDS)/PTO-1449
___ Copies of IDS Citations
11. X A Preliminary Amendment
12. X Return Receipt Postcard (MPEP 503)
13. ___ Applicant is entitled to assert small entity status pursuant to 37 C.F.R. §1.9 and §1.27.
14. ___ If a Continuing Application, check the appropriate box and supply the requisite information:
☐ Continuation of prior application No.: _____ filed _____
☐ Divisional of prior application No.: _____ filed _____
☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____
15. X Amend the specification by inserting before the first line of page one: --This is a ___ continuation
 ___ divisional of application Serial No. _____ filed ____--
16. X Priority of application No(s). 00041442 filed in Sweden on November 13, 2000; No. 60/257,837 filed
 in U.S. on December 21, 2000; Applicant hereby claims priority under 35 U.S.C. § 119.
 ___ A certified copy of priority application No. _____ is enclosed
 ___ A certified copy of priority application No. _____ has been filed in prior application
 S.N. _____ filed _____
17. ___ Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	Number Filed		Number Extra*		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	23 - 20	=	3	x	\$ 9	\$ 18	=	\$0	\$54
Indep. Claims	2 - 3	=	0	x	\$ 42	\$ 84	=	\$0	\$0
Multiple Dependent Claims Presented: Yes <u>X</u> No ___					\$140	\$280	=	\$0	\$280
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 370	\$740
					Total Fee			\$	\$1074

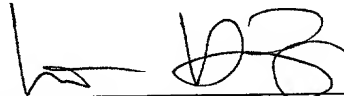
18. X A check in the amount of \$1074.00 to cover the filing fee.
19. Please charge Deposit Account No. 03-3125 in the amount of \$ for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. Other (identify)

CORRESPONDENCE ADDRESS:

NORMAN H. ZIVIN
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel.: (212) 278-0400
Fax: (212) 391-0525

Respectfully submitted,

Dated: November 13, 2001

By: 
Norman H. Zivin
Reg. No. 25,385
c/o Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel.: (212) 278-0400
Attorney for Applicants

TO: ERIK H. ZIVIN

01-24-02

A

Dkt. 63910

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Markus ANDREASSON and Per ASTRAND
Serial No. : Not yet known
Filed : Herewith
For : METHOD AND DEVICE FOR RECORDING OF
INFORMATION

Assistant Commissioner for Patents
BOX PROVISIONAL PATENT APPLICATION
Washington, D.C. 20231

Sir:

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL054 466 833US

Date of Deposit: November 13, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Printed Name: Jamel A. Haas

Respectfully submitted,

Date: November 13, 2001

Norman H. Zivin
Reg. No. 25,385
Attorney for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 1074.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Markus ANDREASSON
Examiner Name	
Group Art Unit	
Attorney Docket No.	0460/66217/NHZ

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

03-3125

Deposit
Account
Name

Cooper & Dunham LLP

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 740.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
23	-20** = 3	18.00	54.00
2	-3** = 0	84.00	0.00
Multiple Dependent		280.00	280.00

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 334.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type) Norman H. Zivin

Registration No. 25,385
(Attorney/Agent)

Complete (if applicable)

Telephone 212-278-0400

Signature

Date 11/13/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.